



## David Bergin

### SENIOR ASSOCIATE

David Bergin is specialized in financial regulation, especially in the areas of banking and finance, asset management, securities and AML. David has been active within the field since 2016 and frequently represents financial institutions which are supervised by the Swedish Financial Supervisory Authority (Sw. Finansinspektionen), such as banks, fund managers and investment firms in matters relating to regulatory compliance.

In his daily work, David provides advice in a variety of matters relating to authorizations with the Swedish Financial Supervisory Authority and in various implementation projects as well as corporate and contract law issues.

David has five years of experience as a Compliance Officer in the financial industry, where he worked mainly with financial regulation such as MiFID II, UCITS/AIFMD, MAR, IDD and AML.

#### AREAS OF EXPERTISE

Banking and finance, Asset management, Securities, Anti-Money Laundering (AML)

#### CONTACT INFORMATION

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### Professional experience

Harvest Advokatbyrå Senior Associate	2023–
Länsförsäkringar Fondförvaltning Managing Compliance Officer	2022–2023
Transcendent Group Compliance Consultant	2020–2022
Söderberg & Partners Compliance Officer	2018–2020
Mangold Fondkommission Compliance Officer	2016–2018
Ahlford Advokatbyrå Internship	2015

### Education

Uppsala University, Sweden LL.M.	2016
Uppsala University, Sweden Bachelor thesis in Business Studies, Business Economics	2016
SwedSec licensing exam (management and control functions)	2016

### Languages

Swedish

English